



PATENT
Attorney Docket No. 041465-5104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Naoaki HORIUCHI et al.)
)
Application No.: 09/817,245)
)
Filed: March 27, 2001)
)
For: AV INFORMATION PROCESSING UNIT)
AND INFORMATION RECORDING)
MEDIUM, IN WHICH AV INFORMATION)
PROCESSING PROGRAM IS RECORDED)
SO AS TO BE CAPABLE OF BEING READ)
BY COMPUTER)

Art Unit: 2644
Examiner: To Be Assigned

Technology Center 2600

OCT 11 2001

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Commissioner for Patents
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application and no fees are believed to be necessary.

A copy of the listed documents is attached. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

The references included in this Information Disclosure Statement were cited in an European Search Report dated July 24, 2001, in a corresponding European Patent Application. A copy of the search report from the European Patent Office citing these documents and setting forth the relevance thereof is enclosed.

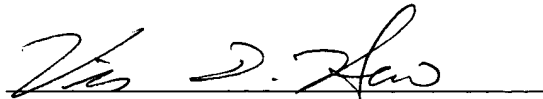
This submission does not represent that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

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By:



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Dated: October 10, 2001
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